



Domestic Custody Fact Sheet

This Product Fact Sheet provides you with key information about this product/service. The document should be read in conjunction with the Terms and Conditions of the Product and the Bank's Tariff Guide which is available in our branches. Should there be any aspect of information contained in this document that is not clear to you, please refer to your relationship manager, or our customer care team on customer care@stanbic.com or +254711068100 for more information.

Product Summary

Domestic Custody is the provision of safe custody and clearing services to domestic and foreign Clients in the capital markets

The core services include a range of "operational and administrative services" for Clients' investments in Securities

This is also referred to as custody or custodial services.

Our Core Custody Services include:

- Opening and maintenance of cash and CSD accounts
- Safekeeping of investable securities
- Clearing and settlement of securities transactions
- Management and tracking of corporate actions including dividends, rights issue, bonus shares accruing to investable securities
- Income collection
- Client and regulatory reporting (holdings, transactions)
- Providing market and regulatory changes impacting clients' investable securities
- Foreign exchange (FX) execution and settlement

Who can apply/Target clients:

The target clients include institutional investors such as:

- Pension and Provident Funds/scheme
- Insurance companies
- Foreign investors
- Fund / asset Managers and Sovereign Wealth Funds
- Unit Trust Funds
- Corporates

Eligibility Criteria

- Clients are required to maintain a cash and securities account with us and must complete all account opening documents. In addition, a safe custody and service level agreement which details all relevant Terms and Conditions and the Schedule of Charges, must be signed and returned.
- For a complete list of the KYC documentation, please refer to your Relationship Manager.

Fees and Charges

- The applicable fees vary depending on the value of investable securities and transactions generated from the investment activities and include a transactional and safekeeping fee whose basis is a per centage of the value of the assets held
- For further information on the fees, please contact your relationship manager

Potential Risks

- The value of assets held in custody are subject to price movements of the securities that the client has invested in and as such the market value is expected to vary on a daily / monthly basis. This include the foreign exchange movements for offshore securities.
- Our ability to deliver on the product core services is dependent on the issuers of the securities that clients will invest in honouring their obligations to the investors. Clients are advised to adopt a proactive approach in learning about the companies and institutions that they invest in to mitigate the counterparty risk.



Rights and Obligations

To the extent that clients enter into Domestic custody arrangement with Stanbic Bank, it will be within the general terms and conditions of the bank www.stanbicbank.co.ke and the safe custody and service level agreements and the terms and conditions that may be prescribed by the capital markets infrastructure including depositories – Central Depository & Settlement Corporation (CDSC) and Central Bank of Kenya and Nairobi Securities Exchange which are available from our Investor Services product team. These will govern the product engagements during the life of the contract.

Complaints Procedure

Should you have any complaint related to this product or any other product or service offered by Stanbic Bank, we welcome you to lodge your complaint in line with our Complaints Procedure. A copy of this procedure is available on our website - www.stanbicbank.co.ke or alternatively you can visit your nearest branch.

Customer Sign-Off

Name: _____ Signature: _____ Date: _____

Name: _____ Signature: _____ Date: _____

Name: _____ Signature: _____ Date: _____

Name: _____ Signature: _____ Date: _____

For more information or queries, contact us at:

Customer Care Centre (CCC)

Tel: +254 (20) 3268 888 / +254 (20) 3268 999

Mobile: 0711 068 888 or 0732 113 888

Email: customercare@stanbic.com

Website: www.stanbicbank.co.ke